





PATENTS 112056-0148 P01-1631

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of: Robert L. Fair)
) Examiner: Not Yet Assigned
Serial No.: 10/753,608))·
Filed: January 8, 2004) Art Unit: 2186
For: ADAPTIVE FILE READAHEAD BASED ON MULTIPLE FACTORS))
8)
	Cesari and McKenna, LLP
	88 Black Falcon Avenue
	Boston, MA 02210
	September 8, 2005

"Express Mail" Mailing-Label Number:

EV 432399404 US

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

INFORMATION-DISCLOSURE STATEMENT

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicant wishes to bring to the Examiner's attention the references listed on the accompanying form PTO-1449. A copy of each listed reference is enclosed.

To the extent required by 37 C.F.R. §1.98(a)(3), Applicant has described what he considers to be the relevance of any foreign-language reference. The Office may find additionally relevant material in these or other references.

Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,

Stephen E. Kabakoff

Reg. No. 51,276

CESARI AND MCKENNA, LLP

88 Black Falcon Avenue Boston, MA 02210-2414

(617) 951-2500



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Robert L. Fair)
Serial No.: 10/753,608) Examiner: Not Yet Assigned
Filed: January 8, 2004)) Art Unit: 2186
For: ADAPTIVE FILE READAHEAD BASED ON MULTIPLE FACTORS)))
	Cesari and McKenna, LLP 88 Black Falcon Avenue Boston, MA 02210 September 8, 2005
EXPRESS-M.	AIL DEPOSIT
"Express Mail" Mailing-Label Number:	EV 432399404 US
The following papers are being depositive to Addressee" ser	sited with the United States Postal Service vice pursuant to 37 C.F.R. §1.10:
X PTO-1449 and Copies of References X Information Disclosure Statement	X Return Request Postcard

Substitute for form 1449A/PTO

SEP 0 8 2005

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number 10/753,608

Filing Date January 8, 2004

First Named Inventor Robert L. Fair

Group Art Unit 2186

Examiner Name Not Yet Assigned

Attorney Docket Number 112056-0148

(use as many sheets as necessary)

Sheet 1 of 2

U.S. PATENT DOCUMENTS							
Examiner Initials *	Cite No.1	i Number	Name of Patentee or Applicant I Code ² of Cited Document		Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		`	nown)		MM-DD-YYYY		
	1	6,260,115	B1	Permut et al.	07-10-2001		
	2	5,146,578		Zangenehpour	09-08-1992		
	3	4,489,378		Dixon et al.	12-18-1984		
	4	5,381,539		Yanai et al.	01-10-1995		
	5	6,567,894	B1	Hsu et al.	05-20-2003		
	ĺ						
					<u> </u>		
	1				<u> </u>		
					1		
						<u> </u>	
	†						
	 				1		

	FOREIGN PATENT DOCUMENTS							
C		Foreign Patent Document		Name of Patentee or	Date of Publication of	Pages, Columns, Lines,		
Examine r Initials*	Cite No.1	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM- DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T ₆
					•			
			<u> </u>					

Examiner Signature	 Date Considered	_	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute	for form 1449A/PTC)	-	Complete if Known		
INFORMATION DISCLOSURE			CLOCUDE	Application Number	10/753,608	
				Filing Date	January 8, 2004	
STATEMENT BY APPLICANT		First Named Inventor	Robert L. Fair			
				Group Art Unit	2186	
(use as many she	ets as	necessary)	Examiner Name	Not Yet Assigned	
Sheet	2	of	2	Attorney Docket Number	112056-0148	

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
	6	"Notification of Transmittal of the International Search Report and The Written Opinion of the International Searching Authority, or the Declaration", International Application No. PCT/US2005/000203 with an International Filing date of 06/01/2005				
		·				
			_			
	-					
	-					
		•				

	xaminer	Date	
Ls	Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.